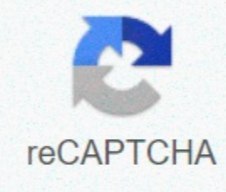




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## William king sec

JavaScript has been detected disabled in this browser. To .com use the Twitter browser, enable JavaScript or switch to a supported browser. You can find a list of supported browsers in the Help Center. The Help Center bill represents clients of investigations and litigation involving the U.S. Department of Justice, the Securities and Exchange Commission, the Commodity Futures Trading Commission, and other federal, state, and local governments. He also conducts internal investigations and advises clients on anti-corruption issues. He previously served as chief of the Securities and Commodity Fraud Task Force at the U.S. Attorney's Office in the Southern District of New York. In this role, he led prosecutors' and law enforcement units in investigations, trials, appeals and other lawsuits related to insider trading, fraudulent investment schemes, corporate financial fraud, and optionback. As chief and deputy commissioner, Bill overseeneed the investigation and prosecution of a number of high-profile cases, including Bernard Madoff, Galleon Group/Raj Rajaratnam, Mark Dryer, Lefko and Adelpia. Before that, as assistant U.S. attorney and special assistant, Bill investigated and prosecuted some of the country's best-known securities fraud cases, including former WorldCom CEO Bernard Evers and CFOs Scott Sullivan, Stephen Madden and Michael Milken. Before joining the U.S. attorney's office, he served as a senior counsel in the SEC's executive division, led investigations and litigation, and served as a senior counsel in the General Counsel's Office of the Appeals Litigation Group. Bill also served as an independent monitor for the U.S. Virgin Islands Police Department, where Bill led a team of police practice experts assessing improvements in policies and procedures requiring compliance with federal consent decrees on the use of excessive force. The bill is recognized by America's leading lawyers for business as the 2017 U.S. litigation star in benchmark litigation and as a leading individual in the U.S. Chamber of Commerce: Litigation - white-collar crime and government investigations. Legal 500 is also recognized in litigation (white collar criminal defense) and financial services litigation. September 11, 2020 - The Securities and Exchange Commission today announces a settled charge against William D. King, a Florida-based business adviser, for not disclosing compensation he received in connection with investment advice he provided to clients. The SEC order revealed that from August 2015 to September 2018, King solicited clients to invest in Penny share issuer American Riverober Holdings Inc. (AREB). According to the order, King did not disclose that he received \$72,250 in AREB shares as a fee for soliciting sales and that he held \$447,384 in AREB shares as a fee. In addition, the order is kingAs a broker-dealer through solicitation of investors and other related activities without registration. The order found that King violated sections 206 and 206(3) of the Investment Advisory Act of 1940 for his failure to disclose and that Section 15(a) of securities trading in 1934 operated as an unregistered broker-dealer. Without acknowledging or denying the findings, King agreed to cease and remove any violations or future violations of the indicted provisions and pay a pre-sentence profit of \$519,634, a pre-sentence profit of \$33,388 and a civil fine of \$75,000. In addition, King also agreed to the association's bar, which has the right to re-apply after three years, a ban on investment firms and penny stock bars. The SEC investigation was conducted by Kyle Bradley of the Atlanta Area Office and overseen by Natalie Branson and Justin Jeffries. BIRMINGHAM, United Arab Emirates -- William King has been appointed associate commissioner of legal and compliance for the Southeastern Conference, announced Tuesday by SEC Commissioner Greg Sankey. King will lead the conference's existing governance and compliance staff, serve as the agency's advisor on NCAA regulatory issues, maintain and improve the entire meeting effort to meet SEC and NCAA compliance expectations, provide advice and advice to commissioners, and serve as the conference's first internal counsel. We look forward to William joining the SEC office as Associate Commissioner for Legal and Compliance, Sankey said. We have established our position as a national leader in NCAA enforcement and compliance and have unique experience in infringement and eligibility cases with universities, coaches, and student-athletes. William has also looked to our future and enjoyed a distinguished legal career that serves the SEC. King, who earned bachelor's degrees from Washington and Lee Universities in 1986, earned a law degree from Vanderbilt University in 1989 and studied under current Vanderbilt Chancellor Nick Zepons. William is an excellent addition to the SEC in areas important to our conference office, said Zepons, who also serves as SEC president and current president of the Prime Minister. William's NCAA expertise and level of experience and his uncompromising integrity make him the ideal person to offer our membership in this capacity. Originally from Luburn, Alabama, King has been an attorney for the Lightfoot, Franklin & White company since it was founded in 1990. He has a strong interest in college sports and has taken laws on various NCAA compliance and legal issues on behalf of southeastern, Atlantic Coast, Big Ten, Big 12, Pac-12, Conference USA, American Athletic, Big West and Big South Conference universities. He's alsoRepresented and consulted with university coaches and administrators on NCAA compliance issues. I'm very much looking forward to joining the SEC and it's an honor, King said. Greg and I have worked closely together in the past and we look forward to being part of his team and working to achieve his vision for the conference and its members. King has regularly represented colleges and coaches before ncaa committees on infringements over the past decade. King has also frequently lectured on compliance issues on campuses across the country. In addition to his college sports practice, King has represented employers in employment-related issues in both state and federal courts for more than 20 years. William has been a member of the Alabama Bar since 1989. Prior to joining Lightfoot, he was a judicial clerk to the honorable Robert S. Vance of the 11th Circuit Court of Appeals. King, 51, and his wife Dolly have three children. Arkansas and Tennessee share high hopes for 2015 College Football Season New Southeastern Conference Commissioner Greg Sankey, who announced the hiring of William King as associate commissioner for legal and compliance on Tuesday. According to the release, King will serve on the league's existing governance and compliance staff, serve as the agency's advisor on NCAA regulatory issues, maintain and improve the entire meeting effort to meet SEC and NCAA compliance expectations, provide advice and advice to commissioners, and serve as the league's inaugural internal legal counsel. We look forward to William joining the SEC office as Associate Commissioner for Legal and Compliance, Sankey said. He has established himself as the NCAA's national leader in enforcement and compliance and has unique experience working with universities, coaches and student-athletes on violations and eligibility cases. William has also looked to our future and enjoyed a distinguished legal career that serves the SEC. King earned a bachelor's degree from Washington and Lee in 1986 and a law degree from Vanderbilt in 1989. He nursed under current Vanderbilt Prime Minister Nick Zepons. I'm very much looking forward to joining the SEC and it's an honor, King said. Greg and I have worked closely together in the past and we look forward to being part of his team and working to achieve his vision for the conference and its members. King has regularly represented colleges and coaches before ncaa committees on infringements over the past decade. He was active in former Georgia NCAA incidents running back Cam Newton in 2010, former Texas A&M quarterback Johnny Manziel in 2013 and Todd Gurley last year. Former Oregon receiver Richard Marany of Alabama announced via Twitter on Tuesday that he would move to Alabama.The 209-pound graduate student from Thousand Oaks, California, will be in fifth grade this fall. Marany had 52 receptions for 788 yards in 2013, but missed much of last season with an elbow injury. dpaschall@timesfreepress.com at 423-757-6524 or David Pachal at 423-757-6524. David Batson, a 25-year veteran of texas A&M athletics, has been appointed assistant secretary for compliance at the Southeastern Conference, SEC Commissioner Greg Sankey announced Tuesday. Batson has played a compliance role in the Aggies athletics program since 1992, with the exception of a two-year stint in the NCAA National Office from 1998-99. The SEC is pleased and fortunate that David Batson will be in the conference in this important role, Sankey said. David's knowledge of NCAA and SEC rules, his experience in compliance, his league relationships, and his experience with the conference office made him an ideal candidate to support the conference office's compliance efforts. Batson recently served as Senior Associate Athletics Director of Athletics Compliance at Texas A&M since March 2016, which included not only compliance supervisors but also sports directors for the Aggies' football, men's tennis, men's swimming and diving programs. He previously served as director of athletics compliance from August 1999. William King, associate commissioner for legal and compliance at the SEC, said: Cause we have worked closely with David over the years, we know he will strengthen our compliance staff in many ways. We were lucky enough to have him on the team, said Batson, who joined Texas A&M in 1992 as Athletics Compliance Education Coordinator and spent six years in that role before joining the NCAA National Office and then located in Overland Park, Kansas. Batson served as an NCAA member from June 1998 to July 1999 through his role as a member services representative and interpreter coordinator. During his time at Texas A&M Batson, he has worked for the NCAA Division I Legislative Review/Interpretation Committee (2008-2012, chairman for the last two years), Division I Softball Committee (chaired 2005-2009, last two years) and Division I Legislative Committee (as of 2014). In addition, Batson works for the NCAA's AMA Technology Advisory Group and the NCAA Division I Two-Year Governance Structure Ad Hoc Working Group, as well as the National Association for Physical Education Compliance (NAAC) Rational Standards Subcommittee. Batson, a 1987 finance graduate at Sam Houston State University, began his athletics career as a graduate assistant athletics coach at Sam Houston State University while receiving an MBA in May 1989. Batson was a walk-on cross-country runner for Bear Katz and also joined the Bear Katz Club's lacrosse team. Batson transitioned to athletics administration at Sam Houston State in several areas of the department, including compliance, concessions and facilities, before he moved to Stephen F. Austin for two years. Batson oversteached academic services and supported compliance efforts as a Lumberjacks Athletic Accreditation Officer between 1990 and 1992. Batson's leadership and contributions to the athletics compliance profession earned him the honor of being named NAAC's highest honor, the Frank Calla Leadership Award, in 2013. Outside of texas A&M's compliance operations, Batson served as a color commentator for Aggie Softball from 2011-17 and was a legislative consultant to the National Association of Fast Pitch Coaches from 2010-17. The son of Charles and Francine Batson, David is married to former Aggie Softball student athlete Shelley Toney, who has one daughter, Bryn. Bryn.

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